

Solicitation policy

When selling financial instruments, KIP complies with laws and regulations and the following policies.

- (1) KIP will recommend financial instruments that take into consideration the customer's knowledge, experience, financial position and purpose.
- (2) KIP will recommend financial instruments that are suitable with respect to enabling customers to reach decisions on their own.
- (3) KIP will never use sales activities that could create a misunderstanding, such as making decisive statements about items that incorporate uncertainties and supplying information that is not based on facts.
- (4) KIP will never perform sales activities at times that are inconvenient for customers or in locations that create problems for customers.
- (5) To perform sales activities properly as stipulated in this policy, KIP will conduct thorough employee training programs, establish rules and use other activities.

Kenedix Investment Partners, Inc.